

0300 18

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 112740-304	
In Re Application Of: Glinggener, et al. DEC 31 2001				
Serial No. 09/963,953	Filing Date September 26, 2001	Examiner Unknown	Group Art Unit Unknown	
Title: METHOD FOR PHASE-SYNCHRONOUS SUPPLY OF AN OPTICAL PULSED SIGNAL OR AN OPTICAL NRZ TRANSMISSION SIGNAL AND AN ELECTRICAL DATA SIGNAL				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) and 1.97(c))

Docket No.
112740-304

In Re Application: Glinggener, et al.

DEC 31 2001

Serial No.

09/963,953

Filing Date

September 26, 2001

Examiner

Unknown

Group Art Unit

Unknown

**METHOD FOR PHASE-SYNCHRONOUS SUPPLY OF AN OPTICAL PULSED SIGNAL OR AN
NRZ TRANSMISSION SIGNAL AND AN ELECTRICAL DATA SIGNAL**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited
10/24/2001 with the U.S. Postal Service
as first class mail under 37 C.F.R. 1.8 and is
addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

William E. Vaughan (Reg. No. 39,056)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690

Dated: October 23, 2001

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christoper Glinggener, et al.
Appl. No.: 09/963,953
Filed: September 26, 2001
Title: METHOD FOR PHASE-SYNCHRONOUS SUPPLY OF AN OPTICAL
PULSED SIGNAL OR AN OPTICAL NRZ TRANSMISSION SIGNAL AND
AN ELECTRICAL DATA SIGNAL
Art Unit: Unknown
Examiner: Unknown
Docket No.: 112740-304

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
60141054A	7/26/85	Japan
DE19506387A1	12/21/95	Germany
09181683A	7/11/97	Japan
10013351A	1/16/98	Japan
10048582	2/20/98	Japan
DE69324391T2	11/4/99	Germany
EP0975104A1	1/26/00	Europe

OTHER DOCUMENTS

Performance limits of nonlinear RZ and NRZ coded transmission at 10 and 40 Gb/s on different fibers, C. Furst, et al., Siemens AG, Munchen, Germany

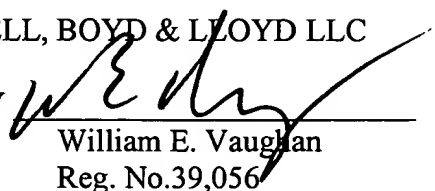
Appl. No.: 09/963,953

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY


William E. Vaughan

Reg. No.39,056

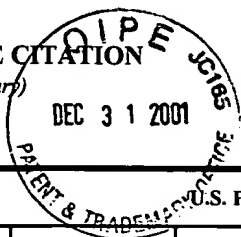
P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4292

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

112740-304

Application Number

09/963,953

Applicant(s)

Christopher Glinggener, et al.

Filing Date

September 26, 2001

Group Art Unit

Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		60141054A	7/26/85	Japan				
		DE19506387A1	12/21/95	Germany				
		09181683A	7/11/97	Japan				
		10013351A	1/16/98	Japan				
		10048582	2/20/98	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Performance limits of nonlinear RZ and NRZ coded transmission at 10 and 40 Gb/s on different fibers, C. Furst, et al., Siemens AG, Munchen, Germany

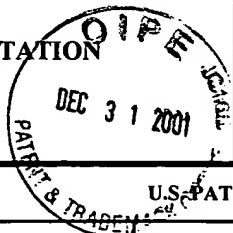
EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

112740-304

Application Number

09/963,953

Applicant(s)

Christopher Glinggener, et al.

Filing Date

September 26, 2001

Group Art Unit

Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		DE69324391T2	11/4/99	Germany				
		EP0975104A1	1/26/00	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.